JUN 1 6 2000 AS SUBJECT OF TRADERNATION

Attorney's Docket No. 42390.P11004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Everett X. Wang et al.

Application No.: 09/814,425

Filed: March 21, 2001

For: FABRICATION OF OPTICAL WAVEGUIDES FOR REDUCTION OF MINIMUM WAVEGUIDE SPACING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT** 

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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| (Signature of person melling correspondence)                   | )                                     |

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PATENT

Kimberly White

2874

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

| _XX          | 37 C.F.R. §1.97(b).  |
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

James Y.

Red. No. 40,621

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| INFORMATION DISCLOSURE            |               |                             |                            |           | ling Date March 21, 20                          |                        | 001                        |  |
| STATEMENT BY APPLICANT            |               |                             | First Named Inventor: Ever |           | Everett X. W                                    | Everett X. Wang et al. |                            |  |
| (use as many sheets as necessary) |               |                             | Group Art Unit 2874        |           |   |                        |                            |  |
|                                   |               |                             |                            |           | Examiner Name                                   |                        | Kimberly Wh                | nite                                     |
| Sheet                             | 1             |                             | of                         | 1         | Attorney Docket Number                          | er                     | 042390.P11                 | 004                                      |
|                                   | - <del></del> |                             |                            | U.S. PATE | NT DOCUMENTS                                    |                        |                            |  |
| Exam. Cite                        |               | 7.                          |                            |           | Name of Patentee or Applicant of Cited Document |                        | Publication of<br>Document | Pages, Columns, Lines,<br>Where Relevant |
| Initial*                          | No.¹          | Number<br>Code <sup>2</sup> | Kind<br>(If known)         | oi Oite   | ed Document                                     |                        | DD-YYYY                    | Passages or Relevant<br>Figures Appear   |
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| <br>Cite<br>No. <sup>1</sup> | Fore<br>Office <sup>3</sup> | ign Patent Document  Number <sup>4</sup> Kind Code  (If knowr | Name of Patentee or Applicant of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T⁰ |
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| <br>2.                       | EP                          | 02251912  | IBIDEN CO LTD                                   | 10/09/1990   |  |    |
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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.